**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

David J. Long, et al.

Serial No.: 10/040,499

Filed on: December 28, 2001

) Confirmation No.: 3702  
)  
) Examiner: Yigdall, Michael J.  
)  
) Group Art Unit No.: 2192  
)

For: TRANSACTION-AWARE CACHING FOR ACCESS CONTROL METADATA

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:



The Examiner is hereby notified that for the following related application  
an Office Action has been received as indicated below:

**DISCLOSURE OF OFFICE ACTION**

U.S. Application/ Patent No.	File Date	Office Action Mailing Date	Atty. Docket. No.
10/448,888	5/30/2003	11/1/2005	50277-1749

The related application may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application. Therefore, the Examiner is encouraged to review the file history of the related application as some of the information contained therein may be material to the examination of the present application.

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under the following (as indicated by an "X" to the left of the paragraph):

☒ 37 C.F.R. §1.97(d) and includes the following:

☒ A statement pursuant to 37 C.F.R. §1.97(e)

☒ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

☒ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

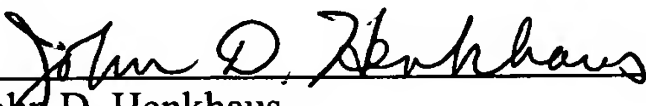
It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicants.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: 11/17/05

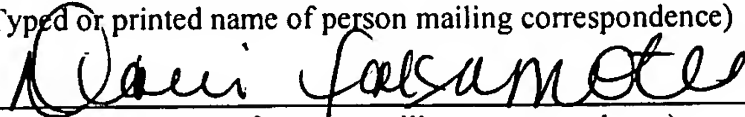
  
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I hereby certify that this correspondence is being deposited with the United States Postal Service via first class mail with sufficient postage in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 17, 2005

Darci Sakamoto

(Typed or printed name of person mailing correspondence)

  
(Signature of person mailing correspondence)

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
50277-1768

SERIAL NO.  
10/040,499

APPLICANT:  
David J. Long et al.

FILING DATE:  
December 28, 2001

GROUP:  
2192

## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM/YYYY	Classification
		Number	Kind Code <sup>2</sup> (If known)			
		5,222,242		Choi et al.	6/1993	395/800
		5,327,556		Mohan et al.	7/1994	395/600
		5,457,793		Elko et al.	10/1995	395/600
		5,799,305		Bortvedt et al.	8/1998	707/10
		5,870,757		Fuller	2/1999	707/201
		5,937,406		Balabine et al.	8/1999	707/100
		6,173,292	B1	Barber et al.	1/2001	707/200
		6,209,000	B1	Klein et al.	3/2001	707/203
		6,216,212	B1	Challenger et al.	4/2001	711/163

## FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

## OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Balter, A., "Alison Balter's Mastering Access 97 Development" <i>Sams Publishing</i> (1997) Chapter 22, Pages 1-4.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>	<b>ATTY. DOCKET NO.</b> 50277-1768	<b>SERIAL NO.</b> 10/040,499
	<b>APPLICANT:</b> David J. Long et al.	
	<b>FILING DATE:</b> December 28, 2001	<b>GROUP:</b> 2192

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM/YYYY	Classification
		Number	Kind Code <sup>2</sup> (If known)			
		6,324,581	B1	Xu et al.	11/2001	709/229
		6,513,056	B1	Copeland et al.	1/2003	709/101
		6,549,916	B1	Sedlar	4/2003	707/200
		6,697,846	B1	Soltis	2/2004	709/217
		6,810,429	B1	Walsh et al.	10/2004	709/246

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		“Mapping A Relational Database to a Hierarchical File System” <i>IBM</i> (1995) 3 pages	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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